

9/10/96

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-05392

SunTrust Securities, Inc., claimant v. Irving Berzner and Dr. Mark Gendal as Personal Representative for the Estate of Beatrice Berzner, respondents.

ATTORNEYS:

For Claimant

SunTrust Securities, Inc. appeared Joseph G. Hern, Jr., Esq./SouthTrust Banks, Inc., Orlando, FL.

Respondent Irving Berzner appeared Pro Se, Tamarac, FL.

Respondent Estate of Beatrice Berzner, appeared Pro Se, through its Personal Representative Dr. Mark Gendal, Coral Springs, FL.

DATE FILED: December 5, 1996

CASE SUMMARY: Claimant alleged that there exists a dispute between respondents regarding the rightful owner of 200 shares of ConAgra, Inc. common stock

Claim Data

Claim: Determine rightful owner of 200 shares of ConAgra, Inc. common stock, valued at approximately \$7,600.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

Award Data

Award: See (1) Below

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$575.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) 200 shares of ConAgra, Inc. common stock belongs to the Estate of Beatrice Berzner. 2) All other requests for relief are denied. 3) The \$575.00 filing fee previously deposited with National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. Respondent Irving Berzner is liable and shall pay to claimant SunTrust Securities, Inc. \$575.00 as reimbursement of the filing fee.

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AFFIRMATION

I, Louise T. Jeroslow, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Louise Jeroslow
Louise T. Jeroslow

July 30, 1997

Date of award