

AWARD
NASD REGULATION, INC

In the matter of the Arbitration Between

Name of Claimant(s)

Suresh Marisetty
Anitha Marisetty

v.

Arbitration No.
96-05449

Name of Respondent(s)

E*Trade Securities, Inc.

REPRESENTATION

For Claimants: Suresh Marisetty
San Jose, California

For Respondent: Mark T. Drooks, Esq.
Bird, Marella, Boxer & Wolpert
Los Angeles, California

CASE INFORMATION

Statement of Claim filed: December 9, 1996

Claimants' Submission Agreement signed: December 2, 1997

Statement of Answer filed: January 14, 1997

Respondent's Submission Agreement signed: January 28, 1997

HEARING INFORMATION

A pre-hearing telephone conference lasting one session was held on November 16, 1998.

Forum fees are assessed as follows:

Total fees:

1 pre-hearing telephone conference @ \$400/session	\$ 400.00
1 hearing session @ \$400/session	<u>\$ 400.00</u>
	<u>\$ 800.00</u>
Claimants' one-half share	\$ 400.00
Credit for deposit	<u>(\$ 400.00)</u>
	0.00
Respondent's one-half share, payable to NASDR, Inc.	<u>\$ 400.00</u>

Concurring Arbitrators Signatures:

Public/Industry

Martin J. Haley
Presiding Chairperson

Public

George T. Fleming

Public

David H.S. Commins

Industry

Date Served:

FEB 16 1999

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Concurring Arbitrators Signatures:

Public/Industry

Martin J. Haley
Presiding Chairperson

Public

George T. Fleming
George T. Fleming

2/8/99

Public

David H.S. Commins

Industry

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