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**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

**CASE: 96-05613**

**Intervivos Irrevocable Trust of Harold Reich, claimant vs. Greenway Capital Corp., respondent.**

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**ATTORNEYS:**

**Claimant appeared Pro Se through its Trustees Harold Reich and Chaya Reich, Israel.**

**Respondent Greenway Capital Corp. did not respond to the Statement of Claim.**

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**DATE FILED: December 18, 1996**

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**CASE SUMMARY: Claimant alleged that he sustained a loss when respondent wrongfully sold his Gandalf Technologies common stock and also engaged in other unauthorized transactions.**

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**Claim Data**

**Claim: \$3,110.00**  
**Punitive: \$.00**  
**Atty Fees: \$.00**  
**Filing Fees: \$125.00**  
**Other: \$.00**

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**Award Data**

**Award: \$3,130.00**  
**Punitive: \$.00**  
**Atty Fees: \$.00**  
**Filing Fees: \$.00**  
**Other: \$.00**

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**AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent Greenway Capital Corp. is liable and shall pay to the claimant \$3,130.00. 2) All other requests for relief are denied. 3) The \$125.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc.**

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**OTHER ISSUES: Pursuant to the By-Laws of the NASD Regulation, the arbitrator determined that respondent Greenway Capital Corp. was served notice of the claim, as evidence by the signed signature cards on file, and is therefore bound by the arbitrator's ruling and determination.**

AFFIRMATION

I, Neil J. Carey, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
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Neil J. Carey

July 30, 1997

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Date of Award