

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 96-05615

Robyn Keil, claimant v. Bear Stearns & Company, Lew Lieberbaum & Co., Inc. and Richard Singer, respondents.

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**ATTORNEYS:**

For Claimant

Claimant appeared pro se - Hicksville, NY.

For Respondents appeared Lawrence Sandor, Esq. - Garden City, NY.

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DATE FILED: 12/18/96.

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CASE SUMMARY: Claimant alleged unauthorized sale of stock for Response USA, Inc. and Help at Home, Inc.

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**Claim Data**

Claim: \$4,837.50  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other requests for relief are denied. 3) The \$125.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc.

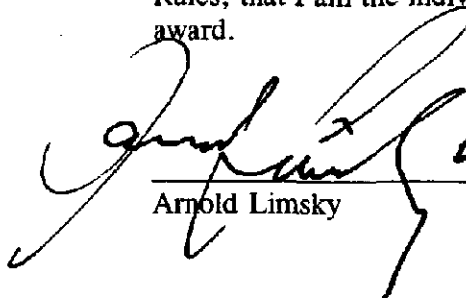
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ARBITRATOR'S REPORT: Respondents acted responsibly per IRS law. Claimant can recover full amount of tax paid from IRS. Claimant should have repurchased securities at the time of the sell-out to mitigate damage.

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Award 96-05615

AFFIRMATION

I, Arnold Limsky, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

 #10970-A  
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Arnold Limsky

June 25, 1997

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Date of award