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N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-05631

Robert Redfield, claimant vs. A.S. Goldmen & Co. Inc. and Greg Gaydos, respondents.

ATTORNEYS:

Claimant appeared Pro Se - East Brunswick, NJ.

For Respondents appeared Carole E. Bernstein. Esq., Nowalk, CT.

DATE FILED: December 1, 1996.

CASE SUMMARY: Claimant alleged omission of facts, false claims, misinformation and failure to execute trades as agreed, regarding his investment in Skyland Parks Management and America Cinema Stores stock.

Claim Data

Claim: \$9,688.00
 Other: 3 - 4 times the Award
 Atty Fees: \$.00
 Filing Fees: \$150.00

Counterclaim Data

Attorney Fees: \$10,000.00
 Costs: Unspecified

Award Data

Award: \$.00
 Other: \$.00
 Atty Fees: \$.00
 Filing Fees: \$75.00

Counterclaim Award Data

Attorney Fees: \$.00
 Costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) Counterclaim claimants/Respondents request for attorney fees is denied. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 6) Respondents are jointly and severally liable and shall pay claimant \$75.00 as reimbursement for one half of the filing fee.

OTHER ISSUES: The arbitrator denied respondents' motion to dismiss.

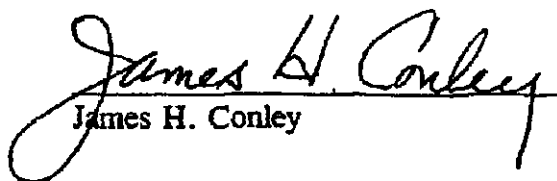
ARBITRATOR'S REPORT: There was no wrongdoing on the part of respondents based on the evidence presented.

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AFFIRMATION

I, James H. Conley, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


James H. Conley

August 20, 1997

Date of Award

James H. Conley
Award 96-05631
Very Truly Yours
James H. Conley