

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 96-05646

Harold and Susan Klemer, claimants v. L.T. Lawrence & Co., respondent.

---

**ATTORNEYS:**

For Claimants

Claimants appeared pro se - Beverly Hills, CA.

For Respondent

Appeared Ruthann G. Niosi, Esq. - New York, NY.

---

DATE FILED: 12/20/96

---

**CASE SUMMARY:** Claimants alleged failure to following instructions to sell EcoTyre Technologies, Inc. Warrants.

---

**Claim Data**

Claim: \$4,655.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

---

**Award Data**

Award: \$4,655.00 with interest at 10% per annum from December 1, 1996 until paid.

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$125.00

Other: \$.00

---

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimants \$4,655.00 with interest at 10% per annum from December 1, 1996 until the award is paid. 2) All other requests for relief are denied. 3) The \$125.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. Respondent is liable and shall pay claimant \$125.00 as reimbursement for their filing fee.


---

**OTHER ISSUES:** Prior to the decision on the merits, the claimants settled the claims against respondent Manuel Bello.

Page Two  
Award 96-05646

**AFFIRMATION**

I, Michael E. Friedman, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Michael E. Friedman, Esq.

June 25, 1997

Date of award