

N.A.S.D. REGULATION AWARD

NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-05743

John & Judith A. Blast, claimant v. Lyle Maul, Fred Zweifel, Howard A. Davis, David Reisman and D. Gregg Marston, respondents.

ATTORNEYS:

For Claimants

Claimants appeared pro se - Livonia, MI.

Respondent Lyle Maul appeared pro se - Los Angeles, CA.

Respondent Fred Zweifel appeared pro se - Los Angeles, CA.

Respondent Howard A. Davis appeared pro se - Agoura Hills, CA.

Respondent David Reisman appeared pro se - Cave Creek, AZ.

Respondent D. Gregg Marston was not served.

DATE FILED: 12/26/96.

CASE SUMMARY: Claimants alleged non-payment of monies, fraud and collusion in the sale of bonds for Promenity, Inc.

Claim Data

Claim: \$10,000.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

Award Data

Award: \$1,000.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00


AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent, David Reisman, is liable and shall pay to the claimants \$1,000.00. 2) All other requests for relief are denied. 3) Claimants' claims against respondents Lyle Maul, Fred Zweifel and Howard Davis are dismissed in their entirety. 4) The \$150.00 filing fee previously deposited by the claimants with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc.

OTHER ISSUES: No service was made on respondent D. Gregg Marston because claimants failed to provide an address for service.

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AFFIRMATION

I, Harry G. Hutchison, IV, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Harry G. Hutchison, IV

June 25, 1997
Date of award