

NASD REGULATION, INC. AWARD

NASD REGULATION, INC., OFFICE OF DISPUTE RESOLUTION

In the matter of the Arbitration Between

Name of Claimant

Robert Campbell

v.

Arbitration No.
96-05774

Name of Respondents

Meyers Pollock Robbins, Inc. and Jeffrey Wittenberg

REPRESENTATION

For Claimants:

Robert Campbell
In Pro Per
Burbank, California

For Respondents:

Louis H. Miron, Esq.
Attorney at Law
Westfield, New Jersey

CASE INFORMATION

Statement of Claim filed: December 26, 1996

Claimant's Submission Agreement signed: December 14, 1996

Statement of Answer filed by Respondents: March 17, 1997

Respondent Meyers Pollock Robbins, Inc.'s
Submission Agreement signed: January 24, 1997

Respondent Jeffrey Wittenberg's Submission
Agreement signed: February 13, 1997

HEARING INFORMATION

Pre-Hearing Conference Dates/Sessions: June 17, 1997 (1 session)
December 10, 1997 (1 session)
March 26, 1998 (1 session)

Hearing Dates/Sessions: April 2, 1998 (2 sessions)

Hearing Location: Los Angeles, California

CASE SUMMARY

Claimant alleged that Respondents made an unauthorized trade in his account and then continually harassed him for payment of the unauthorized trades. Claimant further alleged that Respondents eventually sold Claimant's existing positions in his account to pay for the purchase of the unauthorized trade.

Respondents denied Claimant's claims in their entirety.

RELIEF REQUESTED

Claimant sought \$10,000.00 in compensatory damages.

Respondents sought dismissal of Claimant's Statement of Claim in its entirety, costs and attorney's fees.

OTHER ISSUES CONSIDERED AND DECIDED

The parties have agreed that the Award in this matter may be executed in either counterpart copies or that a handwritten, signed Award may be entered. In either case, the parties have agreed to receive conformed copies of the Award while the original remains on file with the NASD Regulation, Inc., Office of Dispute Resolution.

Respondent Meyers Pollock Robbins, Inc. did not appear at the arbitration hearing although they had proper notice of its time and place.

AWARD

After considering the pleadings, the testimony, and the evidence presented at the hearing, the undersigned arbitrators have decided in full and final resolution of the issues submitted for determination as follows:

1. Claimant's claims are denied in their entirety.
2. Each party shall bear his/its own costs including attorney's fees.

FORUM FEES

Pursuant to Rule 10332(c) of the Code of Arbitration Procedure, the following forum fees are assessed against Claimant:

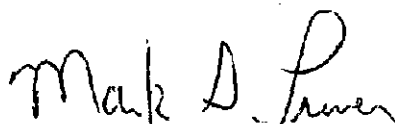
Three (3) Pre-Hearing Conferences @ \$200.00/Conference	= \$600.00
<u>Two (2) Hearing Sessions @ \$200.00/Session</u>	<u>= \$400.00</u>
Total Forum Fees Assessed	= \$1,000.00
 Claimant's Assessment	 = \$1,000.00
<u>Claimant's Credit for Hearing Session Deposit</u>	<u>= (\$200.00)</u>
Claimant's Balance Due	= \$800.00

Fees are payable to NASD Regulation, Inc.

ARBITRATOR

Name.....Arbitrators
Mark S. Priver, Esq. Public Arbitrator

Arbitrator's Signature



Mark S. Priver, Esq.

Date of Service: 12 May 1998