

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

DEBRA KYLE & PAUL ARTHOR SMITH V. PRUDENTIAL SECURITIES, INC.

96 - 07

DATE FILED: 5/5/95 FIRST SCHEDULED 10/27/95 DECIDED 4/15/96
CASE SUMMARY: * A customer v. member dispute involving Polaris Aircraft Income
fund IV and Eagle Insured Limited Partnership I. Claimant
alleges unsuitability and misrepresentation in the sale of
these securities through oral representation and printed
marketing materials. Respondent Member firm has requested
dismissal of all claims.
CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____
SESSIONS: #1

CLAIM AND AWARD DATA:

CL M	\$30,000.00	CC/3rd PTY	N/A	AWARD	Motion To Dismiss has been granted
PUNITIVE	N/A	PUNITIVE	N/A	PUNITIVE	N/A
ATTY FEES	N/A	ATTY FEES	N/A	ATTY FEES	N/A
DEPOSIT	\$500.00	DEPOSIT	N/A	DEPOSIT	
COSTS		COSTS		COSTS	\$250.00

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Respondent's Motion to Dismiss is granted;

THE cost of the arbitration, \$500 shall be shared equally by both parties.

Respondent Prudential Securities, Inc., shall remit the sum of \$250

directly to Claimants as a reimbursement of their initial filing deposit.

ATTORNEY: Frederick Keitel, Esq. - Representing Claimant - Palm Beach, Florida.
Lonnie Brown Zangrillo, Esq. - Representing Respondent - West Palm
Beach, Florida.

ARBITRATORS **

1. MAXWELL WILLIAMS

RD L. OATES

WILLIAM THORP, ESQ.

CITY MEMPHIS STATE TENNESSEE

Maxwell Williams
SIGNATURE
R. L. Oates
SIGNATURE
William Thorp
SIGNATURE

DATE: MAY 7, 1996

* Additional pages may be attached.
** (Dissents)