

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

CASE: MITCHELL LEVINE & RALLY SECURITIES, INC. V. M. GREELEY & B&C PARTNERS

DATE FILED: 7/12/96 FIRST SCHEDULED: 11/4/96 DECIDED: 11/20/96

CASE SUMMARY: Former member v. member claim. Claimant is seeking to recover  
past commission payments. Respondent alleges Claimant  
released them from payment.

# 96-15

CLAIMANT'S INITIALS: ME RESPONDENT'S: Mh THIRD PARTY'S INITIALS:

SESSIONS:

CLAIM AND AWARD DATA:

CLAIM: \$3,128.00	3rd PTY: N/A	AWARD: \$7,497.50
PUNITIVE: N/A	PUNITIVE: N/A	PUNITIVE: N/A
ATTY FEES: N/A	ATTY FEES: N/A	ATTY FEES: N/A
DEPOSIT: \$175.00	DEPOSIT: N/A	DEPOSIT: \$175.00
COSTS:	COSTS:	COSTS:

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims  
between the parties that:  
M. Greeley & B&C Partners shall pay to Mitchell Levine and Rally Securities for  
services rendered the sum of \$7,497.50. All other demands and requests are  
hereby denied.

ATTORNEY:

Mitchell Levine - Claimant - Pro-Se - New York, N.Y.  
Michael Golden - Representing Respondents - Managing Partner - New York.

ARBITRATORS \*\*

THOMAS MIERSWA, ESQ., SOLE ARBITRATOR

SIGNATURE

SIGNATURE

SIGNATURE

CITY: NEW YORK STATE: NEW YORK DATE: December 11, 1996

Additional pages may be attached.  
(Dissents)

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

CASE: LORI WEINRIB V. MERRILL LYNCH, PIERCE, FENNER & SMITH., INC., &  
MARSHA D. JONES

DATE FILED: 4/15/96 FIRST SCHEDULED: 12/20/96 DECIDED: 12/20/96

CASE SUMMARY: Customer v. member claim. Claimant alleges unauthorized improper  
trading and excess commissions by broker and firm. Respondent  
denied the claim. # 96-16

CLAIMANT'S INITIALS: LD RESPONDENT'S: MC THIRD PARTY'S INITIALS: \_\_\_\_\_

SESSIONS: #1&2

CLAIM AND AWARD DATA:

CLAIM: <u>\$50,000.00</u>	3rd PTY: <u>N/A</u>	AWARD: <u>\$21,445.00</u>
PUNITIVE: <u>Requested</u>	PUNITIVE: <u>N/A</u>	PUNITIVE: <u>WITH DRAWN</u>
ATTY FEES: <u>Requested</u>	ATTY FEES: <u>N/A</u>	ATTY FEES: <u>Denied</u>
DEPOSIT: <u>\$520.00</u>	DEPOSIT: _____	DEPOSIT: <u>\$520.00</u>
COSTS: _____	COSTS: _____	COSTS: _____

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:

After due and careful consideration of all the evidence presented in this matter, the arbitrators find as follows: I. Merrill Lynch shall pay the claimant Mrs. Lori Weinrib the sum of \$21,445 which includes interest and fees in full settlement of all claims.

II: No amount is assessed against Ms. Marsha Jones and claims against her are dismissed.

III: Both parties shall bear their own costs, attorney fees in this matter.

ATTORNEY:

MARTIN J. SIEGEL, ESQ. - REPRESENTING CLAIMANT - PRIVATE PRACTICE  
NEW YORK, N.Y.

RALPH M. CURSIO - REPRESENTING RESPONDENTS - CURSIO & SLINEY  
MINEOLA, NEW YORK.

ARBITRATORS \*\*

RICHARD A. DICE, ESQ., CHAIRMAN

PROFESSOR ARNOLD GOREN

CHRISTOPHER DRUCKER

Richard A. Dice  
SIGNATURE  
Arnold A. Goren  
SIGNATURE  
Christopher Drucker  
SIGNATURE

CITY: NEW YORK STATE: NEW YORK DATE: January 15, 1997

Additional pages may be attached.  
(Dissents)