

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: LORI WEINRIB V. MERRILL LYNCH, PIERCE, FENNER & SMITH., INC., &
MARSHA D. JONES

DATE FILED: 4/15/96 FIRST SCHEDULED: 12/20/96 DECIDED: 12/20/96

CASE SUMMARY: Customer v. member claim. Claimant alleges unauthorized improper
trading and excess commissions by broker and firm. Respondent
denied the claim. # 96-16

CLAIMANT'S INITIALS: [Signature] RESPONDENT'S: [Signature] THIRD PARTY'S INITIALS: _____
SESSIONS: #1&2

CLAIM AND AWARD DATA:

CLAIM: <u>\$50,000.00</u>	3rd PTY: <u>N/A</u>	AWARD: <u>\$21,445.00</u>
PUNITIVE: <u>Requested</u>	PUNITIVE: <u>N/A</u>	PUNITIVE: <u>WITH DRAWN</u>
ATTY FEES: <u>Requested</u>	ATTY FEES: <u>N/A</u>	ATTY FEES: <u>Denied</u>
DEPOSIT: <u>\$520.00</u>	DEPOSIT: _____	DEPOSIT: <u>\$520.00</u>
COSTS: _____	COSTS: _____	COSTS: _____

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:

After due and careful consideration of all the evidence presented in this matter, the arbitrators find as follows: I. Merrill Lynch shall pay the claimant Mrs. Lori Weinrib the sum of \$21,445 which includes interest and fees in full settlement of all claims.

II: No amount is assessed against Ms. Marsha Jones and claims against her are dismissed.

III: Both parties shall bear their own costs, attorney fees in this matter.

ATTORNEY:

MARTIN J. SIEGEL, ESQ. - REPRESENTING CLAIMANT - PRIVATE PRACTICE
NEW YORK, N.Y.

RALPH M. CURSIO - REPRESENTING RESPONDENTS - CURSIO & SLINEY
MINEOLA, NEW YORK.

ARBITRATORS **

RICHARD A. DICE, ESQ., CHAIRMAN
PROFESSOR ARNOLD GOREN
CHRISTOPHER DRUCKER

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CITY: NEW YORK STATE: NEW YORK DATE: January 15, 1997

Additional pages may be attached.
(Dissents)