

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 97-00036

Glenn L. Bezuyen, claimant v. Gregory Hilsenrath, respondent.

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**ATTORNEYS:**

Claimant appeared pro se - Scottsdale, AZ.

For Respondent appeared David H. Zimmer, Esq. - Potomac, MD.

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**DATE FILED: 1/3/97**

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**CASE SUMMARY:** Claimant alleged failure to disclose, failure to provide disclosure documents; failure to provide suitability statements or company information; violation of SEC rule 15 G. in the sale of stock.

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**Claim Data**

Claim: \$7,708.90  
Interest: @ 12%  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**Award Data**

Award: \$.00  
Interest: \$.00  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$75.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other requests for relief are denied. 3) The \$150.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. 4) Respondent is liable and shall pay claimant \$75.00 as reimbursement of one-half of his filing fee.

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**ARBITRATOR'S REPORT:** Claimant requested damages that were the result of his purchase decisions - Securities still held long. Commissions charged were all listed on confirmations.

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**AFFIRMATION**

I, Robert Daniel Boone, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Robert Daniel Boone  
Robert Daniel Boone

August 11, 1997  
Date of award