

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 97-00058

Curt B. Koehler, claimant v. Euro-Atlantic Securities, Inc. and Guiseppe Bonetti, respondents.

ATTORNEYS:

For Claimant

Claimant appeared Pro Se. - Touchet, WA.

For Respondent Euro-Atlantic Securities, Inc. appeared Robert J. Carlin - New York, NY.

For Respondent Guiseppe Bonetti appeared Michael Utilla, Esq. - Brooklyn, NY.

DATE FILED: January 6, 1997.

CASE SUMMARY: Claimant alleged unsuitability and unauthorized purchase of stock.

Claim Data

Claim: \$9,240.50

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

Award Data

Award: \$2,300.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$150.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents Euro-Atlantic Securities, Inc. and Guiseppe Bonetti are jointly and severally liable and shall pay to the claimant Curt B. Koehler \$2,300.00. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All other requests for relief are denied. 5) The \$150.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. Respondents are jointly and severally liable and shall pay claimant \$150.00 as reimbursement for his filing fee.

AFFIRMATION

I, Katherine Hendricks, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Katherine Hendricks, Esq.

June 24, 1997

Date of award