

2011/15

1/98

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 97-00112

Steven J. Vastola, claimant vs. Peter Liounis, Thomas Sunderland and San Clemente Securities, Inc., respondents.

---

**ATTORNEYS:**

Claimant appeared Pro Se, Wolcott, CT.

For Respondent Peter Liounis, appeared Michael F. Bachner, Esq., New York, NY.

Respondents Thomas Sunderland and San Clemente Securities, Inc., appeared Pro Se, through their President, Cooke Christopher, New York, NY.

---

**DATE FILED:** January 9, 1997.

---

**CASE SUMMARY:** Claimant alleged negligence and failure to execute his sell order for Sports Vision Technology, Inc. common stock.

---

**Claim Data**

Claim: \$5,850.00  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$150.00

---

**Award Data**

Award: \$2,850.00  
Punitive: \$.00  
Atty Fees: \$.00  
Filing Fees: \$150.00

---

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent Peter Liounis is liable and shall pay to the claimant \$2,850.00. 3) All other relief requests are denied. 4) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 5) Respondent Peter Liounis is liable and shall pay claimant \$150.00 as reimbursement of the filing fee.

---

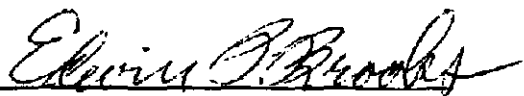
**OTHER ISSUES:** Prior to the decision on the merits and by letter dated October 29, 1997, the claimant settled with respondents Thomas Sunderland and San Clemente Securities, Inc.

9801076

Page Two  
Award 97-00112

**AFFIRMATION**

I, Edwin Brooks, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
Edwin Brooks

January 8, 1998  
Date of Award