

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

E: BARRY BLANK V. RAS SECURITIES CORPORATION & ROBERT A. SCHNEIDER  
V. COLEMAN & CO. SECURITIES # 97-05

DATE FILED: 2/26/95 FIRST SCHEDULED: 4/16/96 DECIDED: 4/22/97

CASE SUMMARY: Member v. member v. NASD member firm claim. Claimant alleges breach of  
employment agreement, and failure to pay bonus. Respondent alleges counterclaim for  
indemnification and third-party claim against NASD firm for tortious interference with  
claimant's employment.

CLAIMANT'S INITIALS: BW RESPONDENT'S: SE THIRD PARTY'S INITIALS: GP  
SESSIONS: #1-8

CLAIM AND AWARD DATA:

CLAIM: <u>590,891.07</u>	3rd PTY: <u>\$1,000,000.00</u>	AWARD: <u>\$23,000.00</u>
PUNITIVE: <u>\$500,000.00</u>	PUNITIVE: <u>N/A</u>	PUNITIVE: <u>Denied</u>
ATTY FEES: <u>Requested</u>	ATTY FEES: <u>Requested</u>	ATTY FEES: <u>Denied</u>
DEPOSIT: <u>\$1,300.00</u>	DEPOSIT: <u>\$1,300.00</u>	DEPOSIT: <u>\$1,300.00</u>
COSTS: <u></u>	COSTS: <u></u>	COSTS: <u></u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:

Statement and Award Attached

COUNSEL:

Barry Feiner, Esq. - Private Practice - Representing Claimant - New York, N.Y.

John J. Phelan, Esq. - Private Practice - Representing Respondent - New York, N.Y.

Sheldon Gopstein - Private Practice - Representing Third-Party Respondent.

ARBITRATORS \*\*

MURTON FENDELMAN, ESQ.

ANCY WENDELL

STEPH FORGIONE

Sheldon Gopstein  
SIGNATURE  
Ancy Wendell  
SIGNATURE  
Steph Forgione  
SIGNATURE

CITY: New York STATE: New York DATE: 4/22/97

Additional pages may be attached.  
(Indicate page number in parentheses)