

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: Virginia Ferrari v. Karen Allison & Bullseye Securities

97-08

DATE FILED: 3/14/96 FIRST SCHEDULED: 8/19/97 DECIDED: 8/24/97

CASE SUMMARY: A member v. member small claims special transfer agreement
dispute between former Approved Person, OPM and OPM organization.

CLAIMANT'S INITIALS: VF

RESPONDENT'S: KRA

THIRD PARTY'S INITIALS: RESA-DET

SESSIONS: 1

CLAIM AND AWARD DATA:

CLAIM: <u>\$2,203.14</u>	3rd PTY: <u>N/A</u>	AWARD: <u>\$2,203.14</u>
PUNITIVE: <u>N/A</u>	PUNITIVE: <u>N/A</u>	PUNITIVE: <u>N/A</u>
ATTY FEES: <u>N/A</u>	ATTY FEES: <u>N/A</u>	ATTY FEES: <u>N/A</u>
DEPOSIT: <u>\$300.00</u>	DEPOSIT: <u>N/A</u>	DEPOSIT: <u>\$300.00</u>
COSTS: <u></u>	COSTS: <u></u>	COSTS: <u>\$300.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:

SEE ATTACHED

ATTORNEY: Virginia Ferrari - Claimant - Represent by - Donna Lewis - Oxford -
New Jersey - (Non-Attorney).
Karen Allison - Respondent - Pro-Se - Jersey City - New Jersey.
Bullseye Securities - Mr. & Mrs. Joseph Roffler - Pro-Se - Atlantic
Highlands - New Jersey.

ARBITRATORS

RANDI LOWITT - SOLE ARBITRATOR


SIGNATURE

SIGNATURE

SIGNATURE

NEW YORK

STATE: NEW YORK

DATE: August 24, 1997

Additional pages may be attached.
(Dissents)

In the case of Virginia Ferrari v. Karen Allison & Bullseye Securities, heard at the American Stock Exchange on August 19, 1997, I find as follows:

After having had a Pre-Hearing Teleconference on July 3, 1997, and after having arbitrated the case with all parties present, and after allowing the parties a full and fair hearing, and after a complete review of all pleadings and evidence in the case,

FIRST: THE DEMAND BY CLAIMANT, VIRGINIA FERRARI, IN THE AMOUNT OF \$2203.14, IS GRANTED, AND TO BE ASSESSED 100% AGAINST RESPONDENT BULLSEYE SECURITIES.

SECOND: THE CLAIM AGAINST RESPONDENT KAREN ALLISON IS DISMISSED.

THIRD: ALL COSTS ARE TO BE PAID BY RESPONDENT BULLSEYE SECURITIES; CLAIMANT FERRARI IS TO BE MADE WHOLE IN THE AMOUNT OF HER DEPOSIT TO THE EXCHANGE.

FOURTH: THERE SHALL BE NO ATTORNEY FEES. THERE SHALL BE NO FEES FOR ANY NON-ATTORNEY, AS THE EXCHANGE RULES PROVIDE FOR NO SUCH FEES.



8/24/97

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