

N.A.S.D. REGULATION, INC. AWARD

CASE: 98-00039

Susan F. Hilton, claimant vs. First Liberty Investments Group, respondent.

ATTORNEYS:

Claimant appeared Pro Se. - Delray Beach, FL.

For respondent appeared Nicholas J. Guiliano, Esq. - Philadelphia, PA.

DATE FILED: 1/5/98

CASE SUMMARY: Claimant alleged forgery and unauthorized trading.

Claim Data

Claim: \$10,000.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

Award Data

Award: \$.00

Atty Fees: \$.00

Filing Fees: \$75.00

Other: \$.00

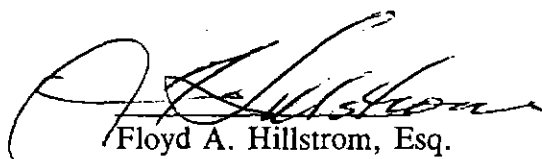
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 5) Respondent is liable and shall pay claimant \$75.00 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Floyd A. Hillstrom, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A handwritten signature in cursive script, appearing to read "F. Hillstrom", is written over a horizontal line.

September 23, 1998

Floyd A. Hillstrom, Esq.

Date of award