

N.A.S.D. REGULATION, INC. AWARD

CASE:98-0061

Cedric M. Swirsky, claimant vs. Todd M. Ficeto, respondent.

ATTORNEYS:

Claimant appeared Pro Se. - Launa Neguel, CA.

For respondent appeared H. Thomas Fehn, Esq., Los Angeles, CA.

DATE FILED: 1/6/98

CASE SUMMARY: Claimant alleged failure to pay commissions and compensation.

Claim Data

Claim: \$4,228.84
Interest: Requested
Filing Fees: \$.00
Other: \$.00

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed without prejudice. 2) All other relief requests are denied. 3) The \$575.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be reimbursed by NASD Regulation, Inc.

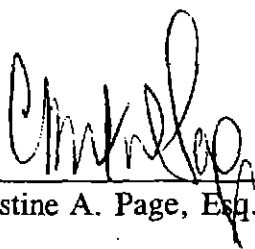
OTHER FEES: Pursuant to Rule 10333 of the Code, Century City Securities has paid to NASD Regulation, Inc. the \$200.00 Member Surcharge previously invoiced.

ARBITRATOR'S REPORT: This claim must be dismissed without prejudice on the ground that Respondent Todd M. Ficeto is not a party to the agreement between Claimant and Century City Securities, Inc.

Page Two
Award 98 - 00061

AFFIRMATION

I, Christine A. Page, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A handwritten signature in cursive script, appearing to read 'Christine A. Page', is written over a horizontal line.

Christine A. Page, Esq.

October 15, 1998

Date of award