

AWARD

NASD Regulation, Inc.

CASE: 98-00246

Ohio Savings Securities, Inc., claimant vs. Dean M. Nedvecki and Nancy A. Nedvecki, respondents.

ATTORNEYS:

For claimant Ohio Savings Securities, Inc., appeared in-house counsel Roy E. Lachman, Esq., Cleveland, OH

Respondents Dean M. Nedvecki and Nancy A. Nedvecki appeared Pro Se., Columbus, OH

DATE FILED: 1/20/1998

CASE SUMMARY: Claimant alleged that respondent failed to supply the certificate or pay for post-split shares of Compuware Corp. common stock.

Claim Data

Claim: \$4,219.25
Interest: Requested
Filing Fees: Requested
Other: \$.00

Award Data

Award: \$4,219.25
Interest: \$.00
Filing Fees: \$575.00
Other: \$.00


AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents Dean M. Nedvecki and Nancy A. Nedvecki are jointly and severally liable and shall pay to the claimant \$4,219.25. 2) All other relief requests are denied. 3) The \$575.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 4) Respondents Dean M. Nedvecki and Nancy A. Nedvecki are jointly and severally liable and shall pay claimant \$575.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Regulation, Inc. the \$200.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Thomas J. Bonasera, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Thomas J. Bonasera, Esq.

June 16, 1999

Date of award