

N.A.S.D. REGULATION, INC. AWARD

CASE: 98 - 00282

Melissa M. Price, claimant vs. U.S. Clearing Corp. and Discover Brokerage Direct, respondents.

ATTORNEYS:

For claimant appeared Max Olim, Esq., - Atlanta, GA.

For respondents appeared Judith Rosenberg, Esq., - San Francisco, CA.

DATE FILED: 1/22/98

CASE SUMMARY: Claimant alleged failure to properly notify claimant of its margin call, and failure to abide by its margin call.

Claim Data

Claim: \$5,114.77
Atty Fees: \$.00
Filing Fees: \$.00
Other: \$.00

Award Data

Award: \$.00
Atty Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent Discover Brokerage Direct has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Glenn A. Delk, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A handwritten signature in cursive script, appearing to read "Glenn Delk", written over a horizontal line.

Glenn A. Delk, Esq.

October 20, 1998

Date of award