

AWARD

NASD Regulation, Inc.

CASE: 98-00427

Thomas Jauss and Joan Jauss, claimants vs. William Scott & Company, LLC and Joseph Losacco, respondents.

ATTORNEYS:

Claimants appeared Pro Se, Bedford, MA.

Respondent Joseph Losacco failed to respond to the Statement of Claim.

DATE FILED: February 3, 1998

CASE SUMMARY: Claimant alleged respondent failed to execute a stop loss order for shares of U.S. Diagnostic Inc stock.

Claim Data

Claim: \$4,216.81
Filing Fees: \$125.00

Award Data

Award: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$125.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

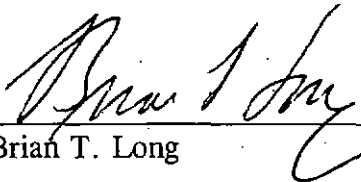
OTHER FEES: Pursuant to Rule 10333 of the Code, respondent William Scott & Company, LLC has paid to NASD Regulation, Inc. the \$200.00 Member Surcharge previously invoiced.

OTHER ISSUES: Prior to the decision on the merits of the case, the claimant settled claims against William Scott & Company LLC.

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AFFIRMATION

I, Brian T. Long, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Brian T. Long

June 15, 1999

Date of award