

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

CASE: WILLIAM H. POMEROY, III, V. OLDE DISCOUNT CORP. &  
WILLIAM J. LENNON, JR. # 98-01

DATE FILED: 11/17/97 FIRST SCHEDULED: August 3, 1998 DECIDED: August 3, 1998

CASE SUMMARY: Customer v. member claim. Customer alleges insufficient  
buying power, churning and unauthorized sale of stocks  
and options. Brokerage firm denies the claim.

CLAIMANT'S INITIALS: \_\_\_\_\_ RESPONDENT'S: \_\_\_\_\_ THIRD PARTY'S INITIALS: \_\_\_\_\_

SESSIONS: #1&2

CLAIM AND AWARD DATA:

CLAIM: <u>\$37,000.00</u>	3rd PTY: <u>N/A</u>	AWARD: _____
PUNITIVE: <u>N/A</u>	PUNITIVE: <u>N/A</u>	PUNITIVE: _____
ATTY FEES: <u>N/A</u>	ATTY FEES: <u>Requested</u>	ATTY FEES: _____
DEPOSIT: <u>\$520.00</u>	DEPOSIT: <u>N/A</u>	DEPOSIT: _____
COSTS: <u>\$ 920.00</u>	COSTS: _____	COSTS: _____

WHP

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:

- (1) with respect to Claimant's claim we find for Respondent
- (2) Costs to be borne by the Respondent as follows:  
\$520 to be paid to the claimant  
\$400 to be paid to The American Stock Exchange  
Hearings Administration, att: Arlene Collins-Day  
86 Trinity Place  
New York, N.Y. 10006

ORNEY: William Pomeroy, III, - Claimant - Pro-se - Havertown, Pennsylvania.  
Lisa Fildes, Esq. - Representing Respondents - Olde Discount Corp. -  
Detroit, Michigan.

ARBITRATORS

William A. Kelly, Esq., Chairman  
Eric A. Winters  
Norman T. Wilde

William A. Kelly  
SIGNATURE  
Eric A. Winters  
SIGNATURE  
Norman T. Wilde  
SIGNATURE

TY: Philadelphia STATE: Pennsylvania DATE: 3 August 1998

Additional pages may be attached.  
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