

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-00392

George G. Hunt & Mary M. Hunt, claimants vs. Quick & Reilly, respondent.

ATTORNEYS:

Claimants George G. Hunt & Mary M. Hunt (collectively "Claimant") appeared Pro Se., Charlotte, NC.

Respondent, Quick & Reilly ("Respondent"), appeared through its Vice President/Director of Arbitration Douglas S. Flaherty, New York, NY

DATE FILED: January 27, 1999

CASE SUMMARY: Claimants alleged that Respondent failed to execute an order for the sale of their shares of Potash Corporation of Canada (POT) stock which resulted in financial loss.

Claim Data

Claim: \$4,500.00

Award Data

Award: \$.00


AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$125.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimants, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, James D. Knotter, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


James D. Knotter

August 3, 2000
Date of award