

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-00443

Scott and Celeste Daiagi, claimants vs. Discover Brokerage Direct, respondent.

ATTORNEYS:

Claimants Scott and Celeste Daiagi ("Claimants") appeared Pro Se., Oakland Park, Florida.

For Respondent Discover Brokerage Direct ("Respondent"), Judith M. Rosenberg, Esq., Discover Brokerage Direct, San Francisco, California.

DATE FILED: 02/01/1999

CASE SUMMARY: Claimants alleged that on November 30, 1998, they placed a trade to sell 500 shares of Tel-Com Wireless Cable TV Corp. ("TCTVW") through Respondent. Claimants maintained that Respondent did not execute this trade. Claimants further alleged that this failure caused them to suffer substantial loss for which they instituted this action.

Claim Data

Claim: \$7,000.00
Filing Fees: \$150.00

Award Data

Award: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-00443

AFFIRMATION

I, Elena G. Rodriguez, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Elena G. Rodriguez
Elena G. Rodriguez

April 28, 2000
Date of award