

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 99-00633

N. Tom Azhdam, claimant vs. Fidelity Brokerage Services, Inc., respondent.

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**ATTORNEYS:**

Claimant N. Tom Azhdam, appeared Pro Se., Rockville, Maryland.

Respondent Fidelity Brokerage Services, Inc., appeared through its Product Manager, Melissa Maloney, Boston, Massachusetts.

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DATE FILED: 02/12/1999

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**CASE SUMMARY:** Claimant alleged that on December 1, 1998, he placed an order with Respondent to sell 200 shares of Book A Million, Inc. ("BAMM") common stock. Claimant maintained that this trade was never executed.

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**Claim Data**

Claim: \$6,000.00

Interest: \$.00

Filing Fees: \$.00

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**Award Data**

Award: \$3,600.00 premised on the condition that Claimant return 200 shares of BAMM to Respondent.

Interest: @8% per annum from 2/9/99 to the date of award.

Filing Fees: 150.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is hereby liable and shall pay to the claimant \$3,600.00, premised on the condition that Claimant return to Respondent 200 shares of BAMM. 2) Respondent is liable and shall pay to Claimant, interest at the rate of 8% per annum from 2/9/99 to the date of award. 3) All other relief requests are denied. 4) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 5) Respondent is hereby liable and shall pay claimant \$150.00 as reimbursement of the filing fee.

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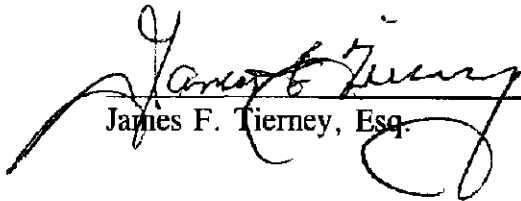
**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-00633

**AFFIRMATION**

I, James F. Tierney, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
James F. Tierney, Esq.

February 10, 2000  
Date of award