

**STIPULATED AWARD
NASD DISPUTE RESOLUTION, INC.**

In The Matter Of The Arbitration Between

June Buongiorno and
Arthur Bell,

Claimants

v.

NASD Case No. 99-01060

Securities Service Network, Inc.,
Calvin K. Jones, Jeff Currey,
Greg Griffith and Rob Ahler,

Respondents.

REPRESENTATION OF THE PARTIES

Claimants, June Buongiorno and Arthur Bell ("Claimants"), were represented by John O'Neill and Regan Pratt of the law firm of Clements, O'Neill, Pierce & Nickens, L.L.P., Houston, Texas.

Respondents, Securities Service Network, Inc. ("SSN"), Jeff Currey, Greg Griffith and Rob Ahler, were represented by Christopher G. Lazarini and Mark Schirmer of the law firm of Tate, Lazarini & Beall, PLC, Memphis, Tennessee.

Respondent Calvin K. Jones was represented by Jerome Mooney of the law firm of Larsen & Mooney, Salt Lake City, Utah.

CASE INFORMATION

Joint Statement of Claim filed on February 26, 1999.

Claimant June Buongiorno signed the Uniform Submission Agreement on February 26, 1999.

Claimant Arthur Bell signed the Uniform Submission Agreement on February 26, 1999.

Statement of Answer filed by Respondents, SSN and Messrs. Currey, Griffith and Ahler, on May 20, 1999.

Respondent SSN signed the Uniform Submission Agreement on May 5, 1999.

Respondent Currey signed the Uniform Submission Agreement on April 20, 1999.

Respondent Griffith signed the Uniform Submission Agreement on May 4, 1999.

Respondent Ahler signed the Uniform Submission Agreement on May 5, 1999.

Statement of Answer filed by Respondent Calvin K. Jones on May 21, 1999.

Respondent Calvin K. Jones signed the Uniform Submission Agreement on May 21, 1999.

CASE SUMMARY

Claimants asserted the following causes of action: unsuitable trading, churning, negligence and fraud, failure to supervise, breach of fiduciary duty, excess markups, violations of NASD rules, violations of the Securities Exchange Act of 1934, violations of the Securities Act of 1933 and violations of the Texas Blue Sky Act.

Respondents denied the claims in the Statement of Claim and requested that all causes of action be dismissed.

RELIEF REQUESTED

Claimant(s) requested:

Compensatory Damages	\$ 710,000.00
Punitive Damages	\$ 1,500,000.00
Interest	\$ Unspecified
Attorneys' Fees	\$ Unspecified
Other Costs	\$ Unspecified

Respondents requested that the claims against them be dismissed in their entirety.

OTHER ISSUES CONSIDERED AND DECIDED

On January 8, 2001, the parties entered an agreement to resolve the matter, the terms of which include presentation of a joint request for entry of this award recommending expungement of this matter from the disciplinary records of Messrs. Currey, Griffith and Ahler. The parties have agreed that the remaining terms of the agreement are to be kept in confidence.

AWARD

Now, in lieu of hearing and upon motion of all parties for entry of an award, the Panel hereby grants the motion and enters this award granting the following relief:

1. The claims against Respondents Securities Services Network, Inc., Calvin K. Jones and Greg Griffith and Robert Adler are dismissed
2. The Panel recommends the expungement of all references to the above captioned arbitration from Respondent Jeff Currey's registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD

Notice To Members 99-09, Respondent Currey must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive.

3. The Panel recommends the expungement of all references to the above captioned arbitration from Respondent Greg Griffith's registration records maintained by the NASD CRD, with the understanding that pursuant to NASD Notice To Members 99-09, Respondent Griffith must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive.
4. The Panel recommends the expungement of all references to the above captioned arbitration from Respondent Rob Ahler's registration records maintained by the NASD CRD, with the understanding that pursuant to NASD Notice To Members 99-09, Respondent Ahler must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive.
5. Any and all relief not specifically addressed herein is denied.
6. Except as otherwise specifically addressed herein, each party shall bear its own costs.

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution, Inc. will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee	= \$250.00
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Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firm(s) that employed the associated person at the time of the event(s) giving rise to the dispute. In this matter, the member firm is Securities Services Network Inc.

Member surcharge	= \$2,500.00
Pre-hearing process fee	= \$ 600.00
Hearing process fee	= \$4,500.00

Adjournment Fees

Adjournments requested during these proceedings:

October 2, 2001 October 3, 2001, adjournment by Calvin K. Jones = 1000.00 (waived)

Forum Fees and Assessments

The Panel has the authority to assess forum fees for each hearing session conducted. A hearing session is any meeting between the parties and the arbitrator(s), including a pre-hearing conference with the arbitrator(s), that lasts four (4) hours or less. Fees associated with these proceedings are:

Three (3) Pre-hearing sessions with the arbitrator x \$1,000.00	= \$ 3,000.00
Pre-hearing conference: November 12, 1999	1 session
September 15, 2000	1 session
September 27, 2001	1 session
One (1) Pre-hearing sessions with a single arbitrator x \$ 300.00	= \$ 300.00
Pre-hearing conference(s): May 19, 2000	1 session

Total Forum Fees =\$3,300.00

The Panel has assessed \$1650.00 of the forum fees jointly and severally against Claimants.
The Panel has assessed \$1650.00 of the forum fees against Respondent Securities Services Network, Inc.

FEE SUMMARY

Claimants June Buongiorno and Arthur Bell are Jointly and severally liable for severally liable for:

Initial Filing Fee	= \$ 250.00
Forum Fees	= \$ 1,650.00
<u>Total Fees</u>	<u>= \$ 1,900.00</u>
<u>Less payments</u>	<u>= \$ 1,400.00</u>
Balance Due to the NASD Dispute Resolution, Inc.	= \$ 500.00

Respondent Calvin K. Jones is solely liable for:

Adjournment Fee	= \$ 1000.00 (waived)
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Respondent Securities Services Network Inc., is solely liable for:

Member Fees	= \$ 7,600.00
Forum Fees	= \$ 1,650.00
<u>Total Fees</u>	<u>= \$ 9,250.00</u>
<u>Less payments</u>	<u>= \$ 1,150.00</u>
Balance Due to NASD Dispute Resolution, Inc.	= \$ 500.00

All balances are due to NASD Dispute Resolution, Inc.

ARBITRATION PANEL

Jones Courtade, Esq. -Public Arbitrator, Presiding Chair

James Sean Healy, Esq.- Esq.-Public Arbitrator

Mark C. Harwell-Non-Public Arbitrator

Concurring Arbitrators' Signatures

John Courtade, Esq.
Public Arbitrator, Presiding Chair

Signature Date

James Sean Healy, Esq.
Public Arbitrator

Signature Date

Mark C. Harwell
Non-Public Arbitrator

Signature Date

Date of Service (For NASD-Dispute Resolution office use only)

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James Sean Healy, Esq. - Esq. - Public Arbitrator
Mark C. Harwell - Non-Public Arbitrator

Concurring Arbitrators' Signatures


John Courtade, Esq.
Public Arbitrator, Presiding Chair

11 Mar 2002
Signature Date

James Sean Healy, Esq.
Public Arbitrator

Signature Date

NASD-DR # 99-01060

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Concurring Arbitrators' Signatures

John Courtade, Esq.

Public Arbitrator, Presiding Chair

Signature Date

James Sean Healy, Esq.

Public Arbitrator

Signature Date

3/13/02

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Mark C. Harwell
Non-Public Arbitrator

Signature Date

Date of Service (For NASD-Dispute Resolution office use only)