

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-01088

Mark C. King, claimant vs. First Cambridge Securities Corp., Michael Metter,
Barry E. Robinson and William Arcuri, respondents.

ATTORNEYS:

Claimant Mark C. King ("Claimant"), appeared Pro Se., Atlanta, GA.

Respondent First Cambridge Securities Corp ("First Cambridge"), did not respond to Claimant's
Statment of Claim.

For Respondent Michael L. Metter ("Metter"), Sara B. Davis, Esq., Littman, Krooks, Roth
& Ball P. C., New York, NY.

Respondent Barry E. Robinson ("Robinson"), appeared Pro Se., Brooklyn, NY.

Respondent William H. Arcuri ("Arcuri"), appeared Pro Se., New York, NY

DATE FILED: March 9, 1999

CASE SUMMARY: Claimant alleged unauthorized trading, failure to execute, breach of
fiduciary duties, et al.

Claim Data

Claim: \$9,831.04
Punitive: \$168.96
Atty Fees: Unspecified
Costs: Unspecified

Award Data

Award: \$.00
Punitive: \$.00
Atty Fees: \$.00
Costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of
the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in
their entirety against all Respondents as this matter has been previously decided by NASD Case
#97-03963. 2) All requests for attorney fees are denied. 3) All requests for punitive damages
are denied. 4) All other relief requests are denied. 5) The \$150.00 filing fee previously
deposited with the National Association of Securities Dealers Regulation, Inc. by the Claimant,
shall be retained by NASD Regulation, Inc.

OTHER ISSUES: Based on the merit of this case, the arbitrator recommends the expungement of all reference to the claim of Mark C. King against Respondents Michael E. Metter and William H. Arcuri registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD Notice to Member 99-09, Respondents Michael L. Metter and William H. Arcuri must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive.

MOTION TO DISMISS: Respondents Michael L. Metter and William H. Arcuri request for Motion to be Dismiss was granted by the arbitrator.

AFFIRMATION

I, James J. Dalton, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


James J. Dalton

June 12, 2000
Date of award