

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-01092

Stephen W. Wrobel, claimant vs. Olde Discount Corporation, respondent.

ATTORNEYS:

Claimant Stephen W. Wrobel ("Claimant"), appeared Pro Se., Warren, MI.

For Respondent Olde Discount Corporation ("Respondent"), Kathleen A. Mulcahy, Esq.
Olde Discount Corporation, Detroit, MI.

DATE FILED: March 9, 1999

CASE SUMMARY: Claimant alleged that Respondent solicited him to purchase SLMI common stock. Claimant further alleged that the value of SLMI stocks began to decline in value after his purchases.

Claim Data

Claim: \$9,750.00

Award Data

Award: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the Claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-01092

AFFIRMATION

I, William P. Thorpe, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A handwritten signature in black ink, appearing to read 'W. P. Thorpe', is written over a horizontal line.

William P. Thorpe

05/19/00

Date of award