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10 CHARLES SCHWAB & CO., INC. and FLOYD HAFLEY II

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APR 25 2001

NASD
DISPUTE RESOLUTION**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

KATHY DAMERON,

Claimant,

vs.

CHARLES SCHWAB & CO., INC.
and FLOYD HAFLEY II,

Respondents.

Case No. 99-01465

**STIPULATION AND AWARD
THEREON**

19 IT IS HEREBY STIPULATED by KATHY DAMERON ("Claimant") and
20 Respondents CHARLES SCHWAB & CO., INC. and FLOYD HAFLEY II
21 ("Respondents"), through their undersigned attorneys of record, pursuant to mutually
22 acceptable agreement that:


- 23
24 1. Each and every one of Claimant's claims asserted against Respondents are
25 hereby dismissed with prejudice; and
26
27 2. The panel recommends the expungement of all reference to the above
28 captioned arbitration from Respondent Floyd Hafley's registration records maintained by

1 the NASD Central Registration Depository ("CRD"), with the understanding that
2 pursuant to NASD Notice to Members 99-09, Respondent Floyd Hafley must obtain
3 confirmation from a court of competent jurisdiction before the CRD will execute the
4 expungement directive. The arbitrators execute this stipulated award, without making
5 findings on the merits as to the existence of registered rep., broker or broker-dealer
6 wrongdoing.

7 DATED: April 17, 2001


Peter S. Myers
THE MYERS LAW FIRM
Attorneys for Claimant KATHY DAMERON

11 DATED: April 24, 2001


Peter R. Boutin
KEESAL, YOUNG & LOGAN
Gregory M. Scanlon
CHARLES SCHWAB & CO, INC.
Attorneys for Respondents
CHARLES SCHWAB & CO., INC. and FLOYD
HAFLEY II

18 IT IS SO ORDERED:

19 DATED: May 23, 2001


Chairperson