

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-02068

Kevin Bartholomew and Diane Easlick, JT Ten, claimants vs. DLJ Direct, respondent.

ATTORNEYS:

Claimants Kevin Bartholomew and Diane Easlick, JT Ten, ("Claimants"), appeared Pro Se., Deerfield Beach, Florida.

For Respondent DLJ Direct, ("Respondent"), David J. Campbell, Esq., Senior Vice President and Assistant General Counsel, Jersey City, New Jersey.

DATE FILED: 5/4/1999

CASE SUMMARY: Claimants maintained that after reading several reports from Respondent promoting Premiere Technologies he purchased shares of their common stock. Claimant alleged that the value of the stock declined, and as a result, he incurred financial loss.

Claim Data

Claim: \$11,089.45

Award Data

Award: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are hereby denied. 3) The \$425.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the Claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Regulation, Inc. the \$400.00 Member Surcharge previously invoiced.

Page Two
Award 99-02068

AFFIRMATION

I, Andrew N. Carnell, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Andrew N. Carnell, Esq.

April 17, 2000

Date of award