

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-02070

Linjun Xie, Claimant vs. First Flushing Securities, Inc., Respondent.

ATTORNEYS:

Claimant, Linjun Xie, appeared Pro Se, Corona, New York.

For Respondent, First Flushing Securities, Inc., appeared John Liu of First Flushing Securities, Inc., Flushing, NY.

DATE FILED: May 4, 1999

CASE SUMMARY: Claimant alleged that respondent, First Flushing Securities Inc., rejected his sell order after it was received. Claimant further alleged that he was induced to sell at a lower price which resulted in financial losses.

Claim Data

Claim: \$2,050.00

Filing Fees: unspecified

Other: unspecified

Award Data

Award: \$.00

Filing Fees: \$37.50

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay to the Claimant \$37.50 as reimbursement as half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

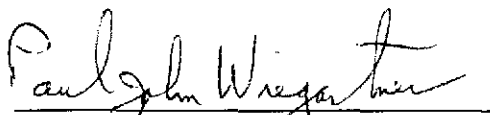
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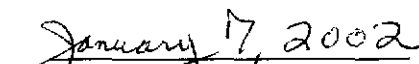
Paul John Wiegartner

Sole Public Arbitrator

AFFIRMATION

I, Paul John Wiegartner, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Paul John Wiegartner


Signature Date

January 11, 2002
Date of Service (For NASD-DR office use)