

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-02113

Jeff Maillo, claimant vs. Brookstreet Securities Corporation and Donovan Lazar, respondents.

ATTORNEYS:

For Claimant Jeff Maillo ("Claimant"), G. Mark Brewer, Esq., The Brewer Law Firm, La Jolla, California.

For Respondent Brookstreet Securities Corporation ("Brookstreet"), H. Thomas Fehn, Esq., Fields, Fehn & Sherwin, Los Angeles, California.

Respondent Donovan Lazar ("Lazar"), appeared Pro Se., San Jose, California.

DATE FILED: 5/7/1999

CASE SUMMARY: Claimant maintained that Lazar induced him to purchase shares of North American Health & Fitness Corp. ("NAHFC") stock by assuring him that the company would be going public. Claimant alleged that the shares he purchased were actually transferred from another customers account at Brookstreet and believes they are valueless. Additionally, Claimant alleged that Lazar failed to disclose his relationship as an officer of NAHFC.

Claim Data

Claim: \$9,450.00
Punitive: unspecified
Atty Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$.00
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are hereby dismissed in their entirety. 2) All requests for punitive damages are hereby denied. 3) All requests for attorney fees are hereby denied. 4) All other relief requests are hereby denied 5) The \$325.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Brookstreet Securities Corporation has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

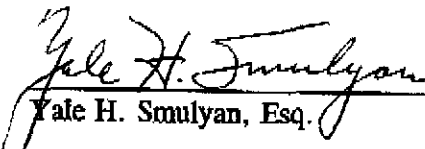
age Two
Award 99-02113

OTHER ISSUES: Claimant's Motion to compel responses for documents and information is hereby denied

Respondent Brookstreet's Motion to Compel further production of documents is hereby denied.

AFFIRMATION

I, Yale H. Smulyan, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Yale H. Smulyan, Esq.

March 24, 2000
Date of award