

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-02206

Corporate Securities Group, Inc., claimant vs. Frank Husek, respondent.

ATTORNEYS:

For Claimant Corporate Securities Group, Inc. ("Claimant"), Charles E. Scarlett, Esq., Boca Raton, Florida.

Respondent Frank Husek ("Respondent"), appeared Pro Se., Short Hills, New Jersey.

DATE FILED: 05/13/1999

CASE SUMMARY: Claimant maintained that in March of 1999, it settled an arbitration dispute (Arbitration Number 97-03828) for \$27,500.00 in which Frank Husek and Corporate Securities Group were named Respondents. Claimant further maintained that according to a Branch Agreement that Respondent signed on January 27, 1997, Respondent agreed to indemnify Claimant from, any and all claims or actions, arising from his duties or services. Claimant maintained that Respondent is obligated to indemnify them for the settlement.

Claim Data

Claim: \$25,000.00
Atty Fees: unspecified
Costs: unspecified

Award Data

Award: \$25,000.00
Atty Fees: \$.00
Costs: \$.00

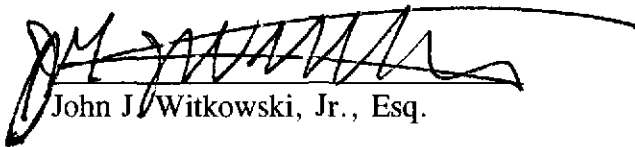
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is hereby liable and shall pay to the Claimant \$25,000.00. 2) All requests for attorney fees are denied. 3) All other relief requests are hereby denied. 4) The \$1,050.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Corporate Securities Group, Inc., shall pay to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

Page Two
Award 99-02206

AFFIRMATION

I, John J. Witkowski, Jr., Esq. , do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


John J. Witkowski, Jr., Esq.

January 10, 2000

Date of award