

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 99-02462

Jane D. Keeling Revocable Trust, claimant vs. BOSC, Inc., respondent.

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**ATTORNEYS:**

Claimant Jane D. Keeling Revocable Trust ("Claimant") appeared Pro Se., Enid, OK.

Respondent BOSC, Inc. ("Respondent") appeared through its Senior Vice President, Scott B. Grauer, Tulsa, OK.

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DATE FILED: 05/27/99

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**CASE SUMMARY:** Claimant alleged that on April 12, 1999 Respondent failed to execute an order to liquidate stock shares of AOL, SIII, and SUNW.

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**Claim Data**

Claim: \$6,461.00

Other: \$575.00

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**Award Data**

Award: \$.00

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-02462

**AFFIRMATION**

I, Robert Steven Haught, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Robert Steven Haught  
Robert Steven Haught

February 24, 2000  
Date of award