

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-02581

Taylor Stuart Financial, claimant vs. Glen D. Tiller, respondent.

ATTORNEYS:

For Claimant Taylor Stuart Financial ("Claimant"), Stephanie Rosenblatt, Esq., Singer Frumento, New York, New York.

Respondent Glen D. Tiller ("Respondent"), did not respond to the Statement of Claim.

DATE FILED: 06/04/1999

CASE SUMMARY: Claimant maintained that on July 16, 1998, Respondent purchased 1,000 shares of Computer Associates. Claimant alleged that Respondent did not pay for the purchase and as a result it was forced to liquidate Respondent's position at a loss.

Claim Data	Award Data
Claim: \$19,899.50	Award: \$19,899.50
Interest: unspecified	Interest: \$.00
Atty Fees: unspecified	Atty Fees: \$.00
Filing Fees: unspecified	Filing Fees: \$1,050.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$19,899.50. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the Claimant, shall be retained by NASD Regulation, Inc. 5) Respondent is liable and shall pay Claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant Taylor Stuart Financial has paid to NASD Regulation, Inc. the \$400.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Regulation, the arbitrator determined that Respondent Glenn D. Tiller was served notice of the Statement of Claim, Overdue Notice and Notification of Arbitrator by regular mail, and is therefore bound by the arbitrator's ruling and determination.

AFFIRMATION

I, Edward M. Olson, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Edward M. Olson, Esq.

March 24, 2000
Date of award