

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE:99-02582

Parker/Hunter Incorporated, claimant vs. Robert Vettorel, respondents.

ATTORNEYS:

For Claimant, Parker/Hunter Incorporated appeared Jay W. Lewis in-house counsel for Parker Hunter Incorporated, Pittsburgh, PA.

For Respondent, Robert Vettorel appeared JoAnne Schwendinger, Esq. of the firm Rizza & Schwendinger, LLC. Pittsburgh, PA.

DATE FILED: June 4, 1999

CASE SUMMARY: Claimant alleged that respondent breached his employment contract and thus owes claimant training expenses.

Claim Data

Claim: \$15,000.00
Cost and atty Fees: Unspecified

Award Data

Award: \$12,500.00
Cost and atty Fees: \$.00

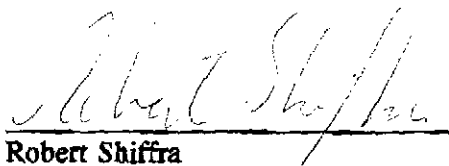
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$12,500.00. 2) All requests for cost and attorney fees are denied. 3) All other relief requests are denied. The \$1050.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Regulation, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 99-2582

AFFIRMATION

I, Robert Shiffra, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Robert Shiffra

March 16, 2000
Date of award

RECIPIENTS:
Robert Shiffra, Esq.