

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-02586

Everen Securities, Inc., claimant vs. Joseph Boller, respondent.

ATTORNEYS:

For Claimant, Everen Securities, Inc., ("Claimant") Nichele Moore, Esq. in-house counsel for Everen Securities, Inc., Chicago, IL.

Respondent, Joseph Boller ("Respondent"), appeared Pro Se., Wichita, KS.

DATE FILED: June 7, 1999

CASE SUMMARY: Claimant alleged that Respondent, Joseph Boller breached his employment contract and has failed to make repayment on a promissory note.

Claim Data	Award Data
Claim: \$23,097.96	Award: \$23,097.96
Interest: Accrued from May 23, 1999 totaling \$1,840.32	Interest: At the rate of 10.25 per annum from July 21, 1999 until the award is paid in full.
Atty Fees: Unspecified	Atty Fees: \$.00
Filing Fees: Unspecified	Filing Fees: \$1,050.00

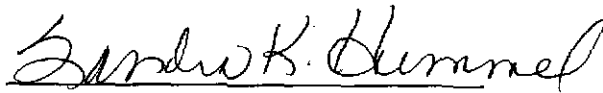
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$23,097.96. 2) Respondent is liable and shall pay to the claimant interest at the rate of 10.25% per annum from July 21, 1999, until the award is paid in full. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc. 6) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant, Everen Securities Inc., has paid to NASD Regulation, Inc. the \$400.00 Member Surcharge previously invoiced.

Page Two
Award 99-02586

AFFIRMATION

I, Sandra K. Hummel, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Sandra K. Hummel

April 3, 2000

Date of award

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April 3, 2000
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