

N.A.S.D. REGULATION AWARD

NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 99-03049

Stanley Sechrist, claimant vs. Suretrade, Inc., respondent.

ATTORNEYS:

Claimant Stanley Sechrist ("Claimant"), appeared Pro Se., Lewisberry, PA.

For Respondent Suretrade Inc. ("Respondent"), Yolande D. Black, Assistant Director of Compliance for Suretrade, New York, NY.

DATE FILED: 7/6/1999

CASE SUMMARY: Claimant alleged that due to Respondent's error, he oversold his position in Navarre Corp., and suffered losses due to a buy back at a sharp price increase.

Claim Data

Claim: \$8,018.07

Interest: at 10% totaling \$600.93

Award Data

Award: \$.00

Interest: \$.00


AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for interest are hereby denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the Claimant, shall be retained by NASD Regulation, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Suretrade, Inc., has paid to NASD Regulation, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-03049

AFFIRMATION

I, Edward Greer, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Edward Greer, Esq.

August 9, 2000
Date of award