

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-03145

Philip Myers and Kenneth Robins, JTWROS, claimants vs. Salomon Smith Barney, Inc., respondent.

ATTORNEYS:

Claimants, Philip Myers and Kenneth Robins, JTWROS, ("Claimants"), appeared Pro Se., Los Angeles, CA.

For Respondent, Salomon Smith Barney, Inc., ("Respondent"), appeared Ann Parry, Esq., First Vice President, Associate General Counsel, Salomon Smith Barney, Inc. New York, NY.

DATE FILED: July 9, 1999

CASE SUMMARY: Claimants alleged that they were aggressively pursued by an employee of Respondent to purchase Federal Home Loan Bank Consolidated Bonds. Claimants further alleged that the risk attendant to the purchase of the bonds was misrepresented to them and that as a result they suffered financial loss.

Claim Data	Award Data
Claim: \$1531.25	Award: \$.00
Punitive: \$750.00	Punitive: \$.00
Filing Fees: Unspecified	Filing Fees: \$37.50
Other: Unspecified	Other: \$.00

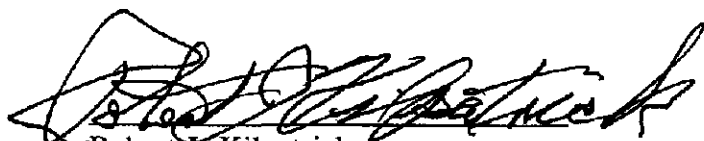
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimants are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimants, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay Claimants \$37.50 as reimbursement for one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Robert J. Kilpatrick, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Robert J. Kilpatrick

October 18, 2000

Date of award