

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-03263

Louis Spore and Marcia Spore, Claimants vs. Jeffrey Jones and Oak Duke, Respondents.

ATTORNEYS:

Claimants Louis Spore and Marcia Spore, ("Claimants") appeared through Louis Spore, Kearny NJ.

Respondent, Jeffrey Jones, ("Jones"), appeared Pro Se., Tampa, FL.

Respondent, Oak Duke, ("Duke"), appeared Pro Se., Sarasota, FL.

DATE FILED: July 19, 1999

CASE SUMMARY: Claimant alleged, that Respondent, Duke, without prior authorization purchase 1,000 additional shares of American Online. Claimant further alleged that he requested the sale of the additional shares of American Online based on Respondents' misrepresentation that Claimant would still have \$50,000 after the sale.

Claim Data

Claim: \$17,762.00

Other: \$.00

Award Data

Award: \$.00

Other: \$.00

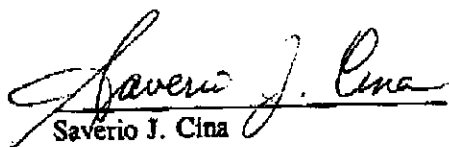
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Gunnallen Financial, Inc. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Saverio J. Cina, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


Saverio J. Cina

November 13, 2000
Date of Award