

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-03425

Paul Martin, Claimant vs. E*Trade Securities, Inc., Respondent.

ATTORNEYS:

Claimant, Paul Martin, ("Claimant"), appeared Pro Se., Bonita Springs, FL.

Respondent, E*Trade Securities, Inc. ("Respondent"), appeared through its Compliance Analyst, Bob Salvador, Menlo Park, CA.

DATE FILED: July 27, 1999

CASE SUMMARY: Claimant alleged that Respondent erroneously double credited his account and created an erroneous buying power which further led to a margin call in his account. Claimant further alleged that as a result of Respondent mishandling of his account he suffered financial loss.

Claim Data

Claim: \$9,238.00
Interest: 9.25%
Filing Fees: \$325.00
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

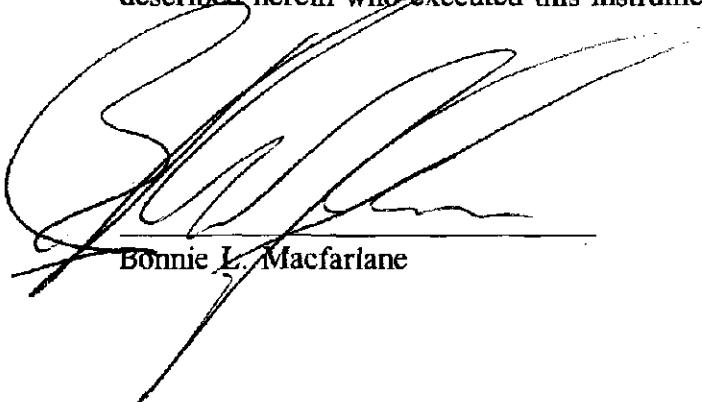
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-03425

AFFIRMATION

I, Bonnie L. Macfarlane, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A large, stylized handwritten signature in black ink, appearing to read 'Bonnie L. Macfarlane', is written over a horizontal line.

Bonnie L. Macfarlane

November 21, 2000

Date of award