

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 99-04105

Gary Elman, claimant vs. Mark Vega, and Security Capital Trading, Inc., respondents.

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**ATTORNEYS:**

Claimant, Gary Elman ("Claimant"), appeared Pro Se., Manalapan, NJ.

For Respondents, Mark Vega, and Security Capital Trading, Inc. (collectively "Respondents"), appeared A. Joseph Tandet, Esq., New York, NY.

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**DATE FILED:** September 7, 1999

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**CASE SUMMARY:** Claimant alleged that he placed an order with Mark Vega an employee of Respondent Security Capital Trading, Inc. to purchase 500 shares of Log on America (LOAX) an IPO. Claimant maintained he was given 300 shares, which was further reduced to 200 shares, Claimant further alleged that he placed a sell order which was not executed in a timely manner, resulting in financial loss.

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**Claim Data**

Claim: \$5,925.00  
Cost: \$325.00  
Other: Unspecified

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**Award Data**

Award: \$.00  
Cost: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for cost are hereby denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent, Security Capital Trading, Inc. has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-04105

**AFFIRMATION**

I, Bennett Feigenbaum, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Bennett Feigenbaum  
Bennett Feigenbaum

August 3, 2000  
Date of award