

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 99-04469

Tzveta Hristova Stamenova & Kalinka Hristova Moudrova, claimants vs. Dreyfus Brokerage Services, respondent.

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**ATTORNEYS:**

Claimants appeared Pro Se, Brooklyn, NY

Respondent appeared through its President, Gary Martino, Beverly Hills, CA

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DATE FILED: October 1, 1999

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**CASE SUMMARY:** Claimant alleged respondent Dreyfus Brokerage Services failed to execute their options buy and sell orders in a timely manner causing financial damage.

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**Claim Data**

Claim: \$6,260.50  
Punitive: unspecified  
Filing Fees: \$.00

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**Award Data**

Award: \$187.50  
Punitive: \$.00  
Filing Fees: \$162.50

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$187.50. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The 325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondents is liable and shall pay claimant \$162.50 as reimbursement for 50% of the filing fee.


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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 99-04469

**AFFIRMATION**

I, Douglas Baumann, Esq. do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
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Douglas Baumann, Esq.

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October 9, 2000  
Date of Award