

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-04747

Sepehr Amiri, claimant vs. Discover Brokerage Direct, Inc., respondent.

ATTORNEYS:

Claimant, Sepehr Amiri appeared Pro Se., Santa Monica, CA

For Respondent Discover Brokerage Direct Inc., appeared Judith M. Rosenberg, Esq., in house counsel, San Francisco, CA

DATE FILED: October 20, 1999

CASE SUMMARY: Claimant alleged transactional errors by respondent regarding his buy and sell orders for shares of Creative Computer Inc.(MALL), which resulted in loss.

Claim Data

Claim: \$5,000.00

Other: \$.00

Award Data

Award: \$.00

Other: \$.00

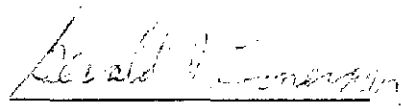
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent's request for costs and expenses are denied.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Gerald J. Lonergan, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Gerald J. Lonergan

September 14, 2000

Date of award