

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 99-04826

William S. Snyder and Joan H. Snyder, claimants vs. Golden Lender Financial Inc. n/k/a J.B.Gibbons and Co., respondent.

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**ATTORNEYS:**

Claimants appeared Pro Se, Richfield, MN.

Respondent did not provide an Answer to the Statement of Claim.

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**DATE FILED:** October 26, 1999

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**CASE SUMMARY:** Claimants alleged that the Respondent had sold 1000 shares of Lsi Log and invested the proceeds in Cabletron without authorization. Claimant further alleged that Respondent continued trading their shares without their authorization.

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**Claim Data**

Claim: \$7,650.25  
Filing Fees: \$325.00  
Interest: unspecified

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**Award Data**

Award: \$7,650.25  
Filing Fees: \$325.00  
Interest: 6% per annum from 7/21/98 to date award is paid

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$7,650.25. 2) Respondent is liable and shall pay claimant interest at the rate of 6% per annum from July 21, 1998 to date award is paid in full. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

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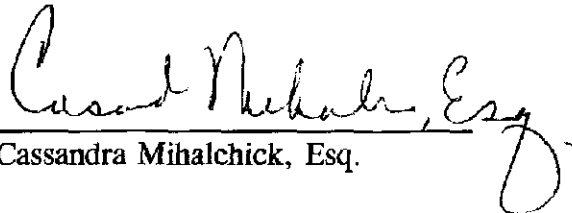
**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has not paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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**AFFIRMATION**

I, Cassandra Mihalchick, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Cassandra Mihalchick, Esq.

February 5, 2001  
Date of award