

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 99-05026

Debi A. Christenhusz, claimant vs. Douglas D. Crawford, and PFS Investments, Inc., respondents.

ATTORNEYS:

For Claimant appeared Scott W. Edwards, Esq., Reno, NV.

For Respondents appeared Devin A. Donohue, Esq. of the firm, Keesal, Young & Logan, San Francisco, CA.

DATE FILED: November 8, 1999

CASE SUMMARY: Claimant alleged that respondent failed to transfer money out of her Owen Healthcare stock option to an IRA in a timely manner.

Claim Data

Claim: \$ 7,323.75
Punitive: \$14,647.50
Atty Fees: \$5,000.00
Filing Fees: \$425.00
Interest: \$2,965.59
Other: \$500.00

Award Data

Award: \$0.00
Punitive: \$0.00
Atty Fees: \$0.00
Costs: \$0.00
Interest: \$0.00
Other: \$.00

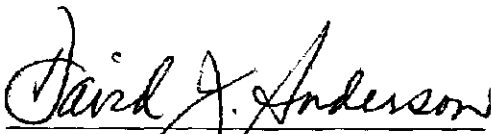
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The arbitrator recommends the expungement of all reference to the above captioned arbitration from Respondent Douglas D. Crawford registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD Notice to Members 99-09, Respondent Douglas D. Crawford must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive. 6) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent, PFS Investments, Inc., has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, David J. Anderson, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



David J. Anderson

March 23, 2001

Date of award