

MSRB
MUNICIPAL SECURITIES RULEMAKING BOARD

In the Matter of the Arbitration between :

Claimant, :

AWARD

v. :

MS89-21

A.F. GREEN & CO., INC. and ANDREW ELBOGEN, :
Respondents. :

SC9-012

The UNDERSIGNED, pursuant to MSRB Rule G-35, Section 34(f), hereby states as follows:

I have been selected to act as arbitrator and to review and determine the captioned matter in controversy between the above-mentioned Claimant and Respondents set forth in a submission of arbitration signed by Claimant on January 9, 1989 and by Respondent Andrew Elbogen on April 14, 1989. Respondent A.F. Green & Co., Inc. failed to file a submission of arbitration.

THEREFORE; after reviewing and considering the proofs of the parties, I have determined that in full and final settlement of the captioned matter:

1. Respondent A.F. Green & Co., Inc. shall pay to Claimant \$3,076.85; and,
2. The claims of the Claimant against Respondent Andrew Elbogen shall be dismissed.

AND; each of the parties shall bear its own costs, including attorney's fees.

AND; the \$100 filing fee previously deposited by Claimant to the MSRB shall be refunded to Claimant by the MSRB.


Lawrence E. Dubé, Jr.

Dated: *June 21, 1989*

STATE OF
COUNTY OF

Maryland
Baltimore City

ss.:

On this *21ST* day of *June*, 19 *89*, before me personally appeared Lawrence E. Dube, Jr. to me known and known to me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.

Florence Scott

FLORENCE E. SCOTT
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires July 1, 1990