

MSRB
MUNICIPAL SECURITIES RULEMAKING BOARD

In the Matter of the Arbitration between

Claimants,

AWARD

v.

R.W. PETERS, RICKEL & CO., INC.
a/k/a RICKEL & ASSOCIATES, INC.,

MS89-38
SC9-019

Respondent.

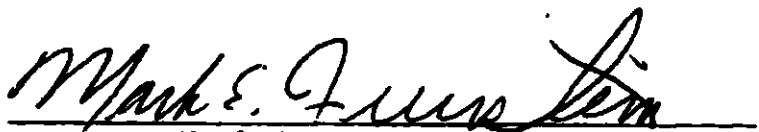
The UNDERSIGNED, pursuant to MSRB Rule G-35, Section 34(f), hereby states as follows:

I have been selected to act as arbitrator and to review and determine the captioned matter in controversy between the above-mentioned Claimants and Respondent set forth in a submission of arbitration signed by Claimants on March 31, 1989 and by Respondent on May 2, 1989.

THEREFORE; after reviewing and considering the proofs of the parties, I have determined that in full and final settlement of the captioned matter Respondent shall pay to Claimants \$496.00.

AND; the \$15 filing fee previously deposited by Claimants to the MSRB shall be refunded to Claimants by the MSRB.

RECEIVED


Mark E. Feuerstein

AUG 3 1989

Dated:

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STATE OF
COUNTY OF

ss.:

On this 1 day of August, 1989, before me personally appeared Mark E. Feierstein to me known and known to me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.

Irving Schwartz

Irving Schwartz
Notary Public

New York Co. 313552850

Comm Exp. 11/30/89