

Candidate Profile — District Committee Election

Candidate Name: Mark Gherity

Title: Complex Manager

Firm: Morgan Stanley

Candidate Biography and Personal Statement

Mark Gherity is the Executive Director of the Minnesota Complex for Morgan Stanley. The Complex is comprised of 12 offices with 226 financial advisors and approximately \$20.4 billion in assets under management. Mark is very interested in serving on FINRA's District Committee given its importance to our firm and the industry. Please find below for your review a list of other positions held by Mark during his tenure in the financial services industry.

1986: Financial Advisor at Smith Barney
1992: Financial Advisor at Morgan Stanley
1995: Producing Branch Manager - Burnsville, MN
2004: Complex Manger - Atlanta, GA
2006: Complex Manager - Minneapolis, MN

Mark was part of the Branch Manager Advisory Council from 2009 to 2011 and was named one of On Wall Street Magazine's 100 Leading Branch Managers nationwide in 2007, 2008, 2011, 2013 and 2014

After being in the industry for more than 25 years I would consider it a privilege to work with FINRA and the District Committee to help guide our industry's future and make a positive change.

Optional Links:

Link to personal website, resume or CV: _____

Link to YouTube video: _____