Allocation Pair Off Mapping – Please provide a list and mapping of the firm's Allocation Pair Offs to the corresponding standard FINRA Allocation Pair Offs. *Note*: If there are Allocation Pair Off sequences in the firm's Allocation Pair Off Hierarchy that do not map to one of the standard FINRA Pair Offs, do not include them in this file.

Each field in the template should be populated as follows:

firm_allocation_pairoff_sequence – Provide the allocation pair off sequence number from the firm's submitted Allocation Pair Off Hierarchy (APOH) that corresponds to one of the standard FINRA Allocation Pair Off descriptions.

finra_allocation_pairoff_description – Include the FINRA allocation pair off description that corresponds to the firm's allocation pair off sequence number. Select from one of the following standard FINRA Allocation Pair Off descriptions:

CNS Fails vs. Fail to Receive

Customer Long vs. Customer Bank Loan

Customer Long vs. Fail to Receive

Customer Long vs. Firm Bank Loan

Customer Long vs. Non Customer Bank Loan

Fail to Deliver vs. CNS Fails

Fail to Deliver vs. Customer Bank Loan

Fail to Deliver vs. Customer Short

Fail to Deliver vs. Fail to Receive

Fail to Deliver vs. Repo

Fail to Deliver vs. Stock Loan

Fail to Deliver vs. Transfer

Firm Long vs. Customer Bank Loan

Firm Long vs. Firm Bank Loan

Non Customer Long vs. Customer Bank Loan

Non Customer Long vs. Non Customer Bank Loan

Reverse Repo vs. Box

Reverse Repo vs. Fail to Receive

Reverse Repo vs. Unallocated

Stock Borrow vs. Box

Stock Borrow vs. Customer Short

Stock Borrow vs. Customer Bank Loan

Stock Borrow vs. Fail to Receive

Stock Borrow vs. Non Customer Bank Loan

Stock Borrow vs. Proprietary Bank Loan

Stock Borrow vs. Unallocated